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09-04-02

PTO/SB/30 (5/2000)  
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995,  
See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/398,897
Filing Date	September 20, 1999
First Named Inventor	Renji Yang
Group Art Unit	1647
Examiner Name	Robert C. Hayes
Attorney Docket Number	0109015/016

TECH CENTER 1600/2000  
SEP 05 2002

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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changed to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE Practice.

## 1. Submission required under 37 C.F.R. § 1.114.

- a. ☒ Previously submitted  
i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on July 8, 2002  
(Any unentered amendment(s) referred to above will be entered).  
ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed x  
iii. ☐ Other \_\_\_\_\_  
b. ☒ Enclosed  
i. ☒ Amendment/Reply  
ii. ☐ Affidavit(s)/Declaration(s)  
iii. ☐ Information Disclosure Statement (IDS)  
iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)  
b. ☐ Other \_\_\_\_\_  
3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.  
a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-1818  
i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) 09/04/2002 BAERHA1 00000046 09338897  
ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:279 370.00 DP  
iii. ☐ Other \_\_\_\_\_  
b. ☒ Check in the amount of \$ 570.00 enclosed  
c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Dante J. Picciano	Registration No. (Attorney / Agent)	33,543
Signature	<i>Dante J. Picciano</i>	Date	August 29, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print / Type)	Robert J. Burchieri	Date	August 29, 2002
Signature	<i>Robert J. Burchieri</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re PATENT APPLICATION of:

**YANG ET AL.**

Appln. No.: 09/398,897

Group Art Unit: 1647

Filed: September 20, 1999

Examiner: Robert C. Hayes

For: STABLE NEURAL STEM CELLS

\* \* \* \* \*  
August 29, 2002

**AMENDMENT  
AND  
REQUEST FOR INTERVIEW**

Assistant Commissioner  
for Patents  
Washington, DC 20231

Sir:

This submission is in response to the Official Action of April 18, 2002 and the Advisory Action of August 13, 2002. A Petition for Extension of Time Pursuant to 37 CFR 1.136(a) and a Request for Continued Examination Transmittal are also enclosed.

Kindly enter the following amendments and consider the following remarks.

**IN THE CLAIMS:**

Kindly amend claims 1 and 12, as follows: